

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-104002	Application No. 09/500,115
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Jens Ponikau	
		Filing Date February 8, 2000	Group Art Unit 1615 1619

### U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
Q.P.	AA	5,897,872	04/27/99	Picciano	—	—	
Q.P.	AB	5,707,975	01/13/98	Francois et al.	—	—	
27 JUN 2000	AC	5,658,881	08/19/97	Gelland et al.	—	—	
	AD	5,654,293	08/05/97	Francois et al.	—	—	
	AE	5,441,977	08/15/95	Russo et al.	—	—	
	AF	5,248,504	09/28/93	Friedman	—	—	
	AG	5,080,899	01/14/92	Sturm et al.	—	—	
	AH	5,075,309	12/24/91	Heeres et al.	—	—	
	AI	4,916,134	04/10/90	Heeres et al.	—	—	
	AJ	4,883,785	11/28/89	Chow et al.	—	—	
	AK	4,432,991	02/21/84	Dusza et al.	—	—	
	AL	4,402,957	09/06/83	Heeres et al.	—	—	
	AM	4,209,447	06/24/80	Heeres	—	—	
Q.P.	AN	3,929,992	12/30/75	Sehgal et al.	—	—	

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
Q.P.	AO	WO 95/08993	04/06/95	PO	—	—		
Q.P.	AP	WO 97/03651	02/06/97	PO	—	—		

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
Q.P.	AQ	Adam et al., <u>Medicine</u> , 1986, 65(4):203-217
	AR	Bartynski et al., <u>Otolaryngol. Head Neck Surg.</u> , 1990, 103:32-39
	AS	Bassiouny et al., <u>J. Laryngol. Otol.</u> , 1982, 96:215-228
	AT	Baumjohann et al., <u>TW Gynakologie</u> , 1999, 4:400-402
	AU	Bent et al., <u>Otolaryngol. Head Neck Surg.</u> , 1994, 111:580-588
Q.P.	AV	Bent et al., <u>Laryngoscope</u> , 1996, 106:1331-1334

Examiner Signature

Bawa

Date Considered

7-25-00

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Jens Ponikau	
		Filing Date February 8, 2000	Group Art Unit 1615

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
<i>J.P.S.</i>	AW	Bullock et al., <u>American Journal of Ophthalmology</u> , 1974, 78(5):811-815
<i>J.P.S.</i>	AX	Cayton et al., <u>The Lancet</u> , 1974, 303-307
<i>JUN 27 2000</i> <i>PTO-1449</i>	AY	Chakrabarti et al., <u>Advances in Medical Mycology</u> , 1997, 2:39-59
	AZ	Cody et al., <u>Laryngoscope</u> , 1994, 104:1074-1079
	BA	Cohen, <u>Ann. Otol. Rhinol. Laryngol.</u> , 1990, 99:427-431
	BB	Corey et al., <u>Otolaryngol. Head Neck Surg.</u> , 1990, 103(6):1012-1015
	BC	Corey et al., <u>Otolaryngol. Head Neck Surg.</u> , 1995, 113:110-119
	BD	Cross, <u>Chest</u> , 1995, 108:599-601
	BE	Denning et al., <u>Chest</u> , 1991, 100:813-819
	BF	deShazo et al., <u>J. Allergy Clin. Immunol.</u> , 1995, 96:24-35
	BG	Dhindsa et al., <u>J. Med. Veterin. Mycol.</u> , 1995, 33:59-61
	BH	Dubois et al., <u>Chest</u> , 1995, 108:750-753
	BI	Dunand et al., <u>Clin. Infectious Dis.</u> , 1997, 25:267-272
	BJ	Falser, <u>Dermatologica</u> , 1984, 169(1):135-140
	BK	Farquhar et al., <u>Scott Med. J.</u> , 1984, 29:192-193
	BL	Germaud et al., <u>Chest</u> , 1995, 107:883
	BM	Goldstein, <u>Hospital Practice</u> , 1992, pp. 73-74, 79-81, 83-84, 87-88, 91-92
	BN	Kilburn K.H., "The Innocuousness and Possible Therapeutic Use of Aerosol Amphotericin-B," U.S. Army Medical Research & Nutrition Laboratory, Fitzsimons Army Hospital, Denver, Colorado, 1959, pp. 441-442
	BO	Kintzel et al., <u>Ann. Pharmacother.</u> , 1994, 28(3):333-335
	BP	Kupferberg et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:35-41
	BQ	LeBeau et al., <u>Mycoses</u> , 1994, 37:171-179
	BR	Macmillan et al., <u>Hum. Pathol.</u> , 1987, 18:960-964
	BS	Mathews et al., <u>Veterin. Surg.</u> , 1996, 25:309-319
	BT	McKendrick et al., <u>The Lancet</u> , 1958, pp. 621-622
<i>J.P.S.</i>	BU	McKendrick et al., <u>The Lancet</u> , 1958, pp. 1229-1230
<i>J.P.S.</i>	BV	Meyer et al., <u>Medicine</u> , 1994, 73(2):69-78

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Examiner Initial	Desig. ID	Document
<i>J.P.</i>	BW	Morgan et al., <u>Am. J. Clin. Pathol.</u> , 1984, 82(5):597-601
<i>OIP</i> JUN 27 2000 PATENT & TRADEMARK OFFICE	BX	Morpeth et al., <u>Ann. Allergy, Asthma &amp; Immunol.</u> , 1996, 76:128-136, 139-140
	BY	Nicolau et al., <u>Ann. Pharmacother.</u> , 1995, 29:994-996
	BZ	Nolting et al., <u>Fortschritte der Medizin</u> , Feb. 1998, 115:22-28
	CA	Ogawa, <u>Japanese Society for Tuberculosis</u> , 1997, 72(2):59-64
	CB	Pasha et al., <u>Gastroenterology</u> , 1997, 112:250-254
	CC	Philip G. and Keen C.E., "Allergic Fungal Sinusitis," <u>Brief Reports</u> , 1988, 14(2):222-224
	CD	Purcell et al., <u>Thorax</u> , 1995, 50:1321-1323
	CE	Quraishi et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:29-34
	CF	Robb, <u>J. Laryngology and Otology</u> , 1986, 100:1071-1077
	CG	Robson et al., <u>Aust. NZ J. Med.</u> , 1989, 19:351-353
	CH	Rolston et al., <u>Reviews of Infectious Diseases</u> , 1985, 7(4):525-529
	CI	Roth, <u>Ear, Nose &amp; Throat J.</u> , 1994, 73:928-930
	CJ	Sait et al., <u>Digestive Diseases</u> , 1970, 15:993-1002
	CK	Shale et al., <u>Thorax</u> , 1987, 42:26-31
	CL	Slavin et al., <u>J. Allerg.</u> , 1970, 46(3):150-155
	CM	Stark, <u>Diseases of the Chest</u> , 1967, 51(1):96-99
	CN	Swift et al., <u>J. Laryngology and Otology</u> , 1998, 112:92-97
	CO	Tiwari et al., <u>Mycoses</u> , 1995, 38:297-300
	CP	Travis et al., <u>Human Pathology</u> , 1991, 22(12):1240-1248
	CQ	Tsushima et al., <u>Internal Medicine</u> , 1996, 35(9):736-741
	CR	Urakami et al., "Clinical Features of Eight Cases of Opportunistic Fungal Pneumonias," Fourth Department of Internal Medicine, Kinki University School of Medicine, Osakasayama, Japan
	CS	Van Cutsem, <u>Mycoses</u> , 1989, 32(1):14-34
<i>J.P.</i>	CT	Wengrower et al., <u>Respiration</u> , 1985, 47:209-213
<i>J.P.</i>	CU	Zieske et al., <u>Otolaryngol. Head Neck Surg.</u> , 1991, 105(4):567-577

Examiner Signature <i>BAWA</i>	Date Considered 7-21-00
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